MERIT SYSTEM FOR EMPLOYEES OF THE KNOX COUNTY SHERIFF’S OFFICE

POLICIES AND PROCEDURES

Governing the Operation of the Merit System for Employees of the Knox County Sheriff’s Office

912 S. Gay Street Suite L-100
Andrew Johnson Building
Knoxville, TN 37902
(865) 215-4446
Fax (865) 215-4448

Merit System Council

Chairperson- Gina Oster
Council Member- Jim Jennings
Council Member- Jim Wright Jr.
Alternate Council Member – John Marshall
Executive Director- Paula M. Taylor

As Amended December 2021 All other versions are superseded
# TABLE OF CONTENTS

**SECTION 1**  PURPOSE AND SCOPE  Page 1  
1.1 Purpose  
1.2 Scope  
1.3 Terms  

**SECTION 2**  GENERAL POLICY  Page 2  

**SECTION 3**  COUNCIL STRUCTURE AND REQUIREMENTS  Page 4  
3.1 Structure and Qualifications  
3.2 Council Member Requirements  

**SECTION 4**  AUTHORITIES AND RESPONSIBILITIES  Page 5  
4.1 Authority  
4.2 Responsibilities and Duties  
4.2.1 Meetings  
4.2.2 Chairperson Duties  
4.2.3 Executive Director Responsibilities  
4.2.4 Sheriff's Responsibilities  
4.2.5 Elected Employee Representative Responsibilities  

**SECTION 5**  EMPLOYEE CLASSIFICATIONS AND SALARIES  Page 8  
5.1 Classification  
5.1.1 Classified Service Grouping  
5.1.2 Grades  
5.1.3 Job Descriptions  
5.1.4 Requests for Reclassification  
5.2 Salaries  
5.2.1 Adjustments  
5.2.2 Merit Increases  
5.2.3 Salary Progression  
5.2.4 Entry Salaries  
5.2.5 Starting Salary on Return to Duty  
5.2.6 Salary on Transfer, Demotion or Promotion  
5.2.7 Continuous Service  

**SECTION 6**  RECRUITMENT AND RETENTION  Page 11  
6.1 Filling Vacancies  
6.1.1 General  
6.1.2 Steps
6.1.3 Eligibility Requirements
6.1.4 Waivers
6.1.5 Application for Employment
6.1.6 Examinations for Promotions
6.1.7 Selection for Candidates for Employment
6.2 Probationary Periods
6.2.1 New Employees
6.2.2 Promotions
6.3 Temporary Appointments
6.4 Part-Time Positions
6.5 Psychological and Physical Health Evaluations

SECTION 7 PROMOTIONS AND DEMOTIONS Page 17
7.1 Promotion Policy
7.1.1 General
7.1.2 Promotion Program
7.2 Demotions
7.3 Transfers

SECTION 8 PERFORMANCE EVALUATIONS Page 20
8.1 Purpose and Intent

SECTION 9 DISCIPLINARY ACTIONS Page 20
9.1 Reprimands
9.2 Suspensions
9.3 Dismissals
9.4 Request for Hearing
9.5 Right of Grievance

SECTION 10 SEPARATIONS Page 24
10.1 General
10.2 Resignations
10.3 Lay-Offs

SECTION 11 LEAVE Page 27
11.1 Personal Leave
11.2 Annual Leave
11.3 Holiday Leave
11.4 Sick Leave
11.5 Military Leave
11.6 Maternity Leave
11.7 Disability Leave or Death
11.8 Compassion Leave
11.9 Jury Duty or Witness Duty Leave

SECTION 12 ON THE JOB INJURY BENEFITS Page 30

SECTION 13 RETIREMENT Page 32

SECTION 14 MERIT COUNCIL RECORDS Page 32

14.1 Required Records

SECTION 15 CONFIDENTIALITY OF RECORDS Page 33

Attachment 1 ORDINANCE (Commission of Knox County Establishing a Merit System for employees of the Sheriff)

Attachment 2 Acknowledgments
SECTION 1- PURPOSE AND SCOPE

1.1 Purpose

To set forth and enforce a structured set of rules and guidelines to favorably influence the professionalism of law enforcement in Knox County through fair hiring, promotion and management practices; and to protect law enforcement personnel from coercion or loss of employment because of political activities of the administration.

1.2 Scope

These policies and procedures apply to all classified members of the Sheriff’s Office and to those personnel serving the Merit System Council. They are enforceable by the judge in any court of record within the county. Every employee in the Classified Service has an obligation to comply and is expected to comply with the spirit and intent of the Merit System Council, and the lawful authority of the Sheriff.

1.3 Terms

The term “employee(s)” shall refer to all classified members of the Sheriff’s Office and to those personnel serving the Merit System Council. None of these rules or regulations shall be held to apply to persons in nonclassified positions as defined in subsection 2.1 below.

The term “appointment” means the designation of a person, by the person or persons having authority therefor, to discharge the duties of some position.

The term “disability” as used in below in 3.1 Structure and Qualifications refers to a member of the merit system council who is unable to carry out his functions as a council member due to temporary and/or permanent medical reason.

The term “position” means the particular job the employee is performing.

The term "classification/compensation policy" means a system of pay classification developed and used by county government for its employees.

The term “position classification plan” refers to the duties, authorities, responsibilities and character of work required for each position and each class thereof, and the requirements of each position and class thereof as to education, experience, and capabilities, knowledge, and skill. As far as practical, the position classification plan provides for the maximum flexibility in lateral transfer of personnel, particularly in those positions and classes of positions relating to law enforcement.

The term “Salary Progression Scale” refers to a list of graduated and progressive salary rates used by Knox County.
The term “Eligibility List” and “List of Eligibles” refers to a list of eligible person who, after Merit System Council testing, reviews and determination, are qualified and fit based upon residence, age, health, habits, moral character and other necessary prerequisites for the performance of the duties for various positions in the classified service.

The term “On the Job Injury Board” or “O.J.I. Board” is established by the Merit System Council, and the Board consists of one Council member, a representative from the Sheriff’s Office, and one individual elected by the members of the classified service, and the Board shall review injury claims for approval.

SECTION 2 - GENERAL POLICY

2.1 These policies and procedures shall be executable, and if any one of them shall be held to be unconstitutional or violate any federal or state law, the decisions of the Court shall not affect the validity of the remaining policies and procedures.

Note: Classified Service includes all positions and employees in the Sheriff’s Office except: the Sheriff, Sheriff’s Chief Deputy, Sheriff’s Personal Secretary, seven other positions at the discretion of the Sheriff, and employees whose income is derived primarily from fees for service of civil papers, and those serving as court officers and bailiffs. Persons violating these provisions may be subject to disciplinary actions up to and including dismissal from the Sheriff’s Office.

2.2 Classified persons shall not be employed in the Sheriff’s Office if they would be subject to administrative or supervisory control of a member of his/her immediate family (mother, father, sister, brother, husband, wife, son, daughter, mother-in-law, father-in-law, nephew, niece and step relationships of any of the above).

2.3 No classified person employed by the Sheriff shall take an active part in any political campaigning while on duty and shall not:

a. Solicit money for political campaigns or in any way use their position as a Sheriff’s Office employee to exert any pressure on anyone to influence their political feelings or views.

b. Display any public endorsement of any candidate in any campaign for elected office or conduct such a campaign.

Note: This does not prohibit any person from becoming or continuing to be a member of a political club or organization and participating (out of uniform) in political activities during non-duty hours.

c. Appear publicly or in any advertisement on behalf of a candidate for office while on duty or in uniform, or wearing any insignia or other clothing, which may associate the person with the Sheriff’s Office. This includes the driving of any county-owned vehicle, which would associate the person with the Sheriff’s Office.
d. Any person holding a position in the classified service, which makes the decision to run for an elected position, shall request a leave of absence to be approved by the Sheriff. The leave of absence request must be submitted no later than the date a treasurer is appointed to the political campaign.

2.4 The Sheriff may not require any classified employee to participate in any political activity as a condition of employment or promotion.

Note: If it is determined by the Council (after appropriate investigation) that an individual violated this provision (with or without improper influence by the Sheriff), he/she may be dismissed. If it is determined that an individual was dismissed for refusing to violate this provision the individual shall be reinstated with back pay and benefits. The Sheriff may be issued a "cease and desist" directive from the Council and which shall be enforced in any court of record within the county.

2.5 Employment in the Knox County Sheriff’s Office shall be based on merit and fitness, and free of personal bias and political consideration.

2.6 The Merit System shall be conducted without discrimination against, or in favor of, a candidate for employment or an employee because of race, color, sex, religion, national origin, because of physical handicap(s), or for any unlawful discriminatory basis, which will not interfere with the performance of duties. The Merit System Council intends for all of its policies to comply with federal and state equal employment opportunity principles and other related laws.

2.7 Positions having similar duties and responsibilities shall be classified and compensated on a uniform basis.

2.8 Appointments, promotions and other actions requiring the application of merit principles shall be based on standard tests, exams and/or evaluations.

2.9 Employees shall be protected against undue coercion (political or other) and are prohibited from using their official authority or status for the purpose of interfering with or affecting the results of an election or a nomination for public office within the county.

2.10 Tenure of employees covered by this Merit System shall be subject to good behavior, the satisfactory performance of work, and the availability of funds. The council may, upon request of and by the advice of the sheriff, create new positions or combine, alter or abolish existing positions in such manner as the board acting under the advice of the sheriff deems necessary for the effective operation of the office of sheriff. No position in the classified service shall be abolished except upon approval of the council acting in good faith upon the advice of the sheriff.

2.11 Just and equitable incentives and conditions of employment shall be established and maintained to promote efficiency and economy in the operation of the Sheriff’s Office.
SECTION 3 - MERIT SYSTEM COUNCIL STRUCTURE AND REQUIREMENTS

3.1 Structure and Qualifications

The Merit System Council (hereinafter called the Council) shall be organized and composed as follows:

a. Three persons appointed by the Knox County Commission to serve a term of three years with no member serving more than two consecutive terms. One member shall be elected by the Council to serve as Chairperson. The Knox County Charter Term Limits in Sec. 9.17 apply.

Note: An Alternate member may be appointed by the County Commission. An Alternate member shall have the same authority as a principle member of the Council. Such alternate shall serve for a period of one year. The alternate may be designated by the chairman of the Council to sit in place of any regular member who will not participate in the consideration of any matter before the council.

b. Each member (or alternate) must:

   1. Be over the age of 21.
   2. Be a citizen of the United States and Tennessee.
   3. Reside in Knox County.
   4. Be of good moral character.
   5. Be willing to serve without pay.

   c. An Executive Director and staff shall be appointed by the Council to maintain the personnel records, administrative files and to coordinate Council functions.

   d. Alternates are encouraged to attend Council functions and meetings.

3.2 Council Member Requirements

a. Understand the workings of law enforcement agencies in order to properly formulate policy and procedures regarding the Merit System and function as arbitrators (when necessary) of new policy in meetings with employees, department heads and the Sheriff.

b. Understand compliance requirements of various federal and state agencies such as Equal Employment Opportunity Commission (EEOC), Tennessee Human Rights Commission (THRC), and Police Officer Standards and Training Commission (POST).

c. Treat Federal Bureau of Investigation (FBI) and Tennessee Bureau of Investigation (TBI) personnel, medical, psychological, and other employment information in a confidential manner.
d. No person shall be eligible to serve as a member of the council if he has previously been employed by the Sheriff’s Office within the five calendar years preceding his appointment by the commission, or if any member of such person's immediate family is or becomes employed by the County Sheriff’s Office at any time during such person's term of service as a member of the council. For purposes of this section, "immediate family" shall include spouse, parent, siblings, or children.

SECTION 4 AUTHORITY AND RESPONSIBILITIES

4.1 Merit System Council’s Authority

The Charter of Knox County Government provides the government is a public corporation vested with any and all powers which counties are, or may be hereafter be, authorized or required to exercise under the Constitution and all applicable laws of the State of Tennessee. Pursuant to the Charter, the Knox County Sheriff Employees’ Merit System Council was established by Knox County Ordinance No. 0-90-9-111, Article II, Division 2.

4.2 Merit System Council's Responsibilities and Duties

The Merit System, as administered by the Merit Council, shall be conducted in such a manner to ensure that objectivity and professionalism in the Sheriff’s Office is maintained. The duties and responsibilities of the Council as stated in the Knox County Ordinance are:

   a. To adopt and amend rules and regulations for the administration of this Ordinance.

   b. To make investigations concerning the enforcement and effect of this Ordinance and to require observance of the rules and regulations made there under.

   c. To hear and determine appeals and complaints respecting the administration of this Ordinance.

   d. To establish and maintain a roster of all employees of the classified service and the office of the Sheriff showing their positions, rank, compensation and places of residence.

   e. To ascertain and record the duties and responsibilities pertaining to all positions in the classified service, and to classify such positions in a timely manner hereinafter provided.

   f. Except as otherwise provided in this Ordinance, to formulate and hold competitive tests to determine the qualifications of persons who seek employment in any position, and as a result of such tests, establish employment lists of eligible persons for the various positions.

   g. To establish records of the performance and a system of service ratings to be used to determine promotions, the order of lay-offs and reduction of force, to determine the order of reemployment, to assist in the determination of dismissal for cause, and for other purposes.
h. To keep any other such records as may be necessary for the proper administration of this Ordinance.

i. To provide for part-time and temporary positions and appointments and to establish rules defining and governing use of these positions except that no temporary appointment shall be for more than three (3) months except by special action of the Council.

j. To establish rules and regulations governing mandatory retirement from the classified service and providing for further provisional employment of such persons at the discretion of the Sheriff on a year-to-year basis where such employees prove to be physically and otherwise able to perform required services and where a need for such services is demonstrated.

k. To set the date when the portions of the Ordinance pertaining to the establishment of the classified service shall take effect, except that the date set shall not be prior to January 5, 1971.

l. To adopt and amend rules and regulations providing for a means of hearing grievances by members of the classified service.

4.2.1 Meetings

The Council shall meet at least quarterly at a time determined by the Chairperson. All meetings shall be publicly held in the Main Assembly Room or Small Assembly Room of the City-County Building or such other public facility as may be set out in the public notice of the meeting. A quorum shall consist of two members, and any action of the council shall have the concurrence of at least two members. All meetings shall comply with the Tennessee Open Meetings Act. All members are required to comply with the strictures of the Tennessee Open Meetings Law. Minutes of all meetings shall be taken and maintained as a public record. A quarterly report shall be submitted to the Knox County Commission by the Council outlining all pertinent actions taken by the Council during the preceding quarter.

The On the Job Injury Board shall review injury claims for approval and the Council shall establish rules for the conduct of this Board. This Board shall consist of one Council member, a representative from the Sheriff’s Office, and one individual elected by the members of the classified service.

4.2.2 Chairperson Responsibilities

The Chairperson shall:

a. Determine the order of business and preside at all meeting and hearings of the Council.

b. Decide all points of order or procedure.

c. Perform all duties required by these policies and procedures.
d. Be the principle interface with the County Commission.

4.2.3 Executive Director Responsibilities

The Executive Director shall:

a. Carry on all official correspondence of the Council.

b. Send out all notices required by law and these policies and procedures.

c. Continuously evaluate the effectiveness of the Merit System and make recommended changes to the policies and procedures to the Chairperson.

d. Keep minutes of the Council's meetings showing the vote of each member upon each question as well as attendance records.

e. Serve as the Secretary to the On The Job Injury (O.J.I.) Board.

f. Keep administrative records to include a record of each examination and personnel records (as required - Section 11).

g. Interview, hire and supervise staff members as authorized by the Council and as authorized by County Commission through the Knox County budgetary authorization process.

4.2.4 Sheriff's Responsibilities

The Sheriff shall:

a. Maintain the Classification Plan (Section 5) and assist the Council in maintaining the roster of all employees of the Classified Service.

b. Submit requests to the Council for reclassification of individuals.

c. Consult with the merit system council to determine the requirements of each position and class in the Classification Plan as to education, experience, and capabilities, knowledge, and skill.

d. Request Eligibility Lists from the Council when vacancies are to be filled.

e. Promptly forward completed Performance Evaluations to the Council of those employees in the classified service.

f. Provide the Council with copies of all Personnel Action Forms and /or any other correspondence pertaining to recommendations of the Sheriff’s Office on all employees in the classified service, to be filed by the Merit System Office.
g. Provide an immediate report in writing to the merit system council on all appointments, reinstatements, vacancies, absences or other matters affecting the status of any member of the classified service or the performance of the duties of members of the classified service. The report shall be in the manner and form prescribed by the merit system council.

4.2.5 Elected Employee Representatives Responsibilities

There will be elected a Patrol Employee Representative, Corrections Employee Representative and Civilian Employee Representative all from the Classified Service.

The Representatives Shall:

   a. Review and develop a working knowledge of these Policies and Procedures.

   b. Attend all official Council meetings and functions, if possible.

   c. Act as a liaison for all classified service employees who may have an inquiry by filing timely, written inquiries with the Executive Director.

   d. Serve a three-year term and no more than two consecutive terms.

   e. The first Corrections Employee Representative’s staggered term will begin November 1, 2018.

   f. The first Civilian Representative’s staggered term will begin November 1, 2019.

SECTION 5 EMPLOYEE CLASSIFICATION AND SALARIES

5.1 Classification

5.1.1 Classified Service Grouping

All positions in the Classified Service shall be grouped into one of the following classifications:

Law Enforcement - Positions regularly involved in the enforcement of pertinent laws and ordinances, prevention and investigation of crimes, the making of arrests, or positions involved in the supervision of or training of these positions.

Corrections - Positions with direct prisoner contact regularly involved in the processing, booking, identification, transportation (within the jail facility), maintenance of order, and safekeeping of prisoners, or positions involved in the supervision of or training of these positions.

Civilian - Positions regularly involved in the clerical and administrative activities of the Sheriff’s Office.
Note: The Sheriff may, at his discretion, have officers certified under POST regulations in positions in any of the above classifications serving legitimate law enforcement needs of the Sheriff’s Office.

5.1.2 Grades

Each position shall be assigned a pay grade that is determined by that positions’ character, difficulty and responsibility. Each grade shall have an equitable range of compensation. Lists of positions in each grade shall be maintained in the Merit System Council office and may be seen upon request.

5.1.3 Job Descriptions

Each position established in the Classified Service shall have a job description maintained by the Council and shall include a classification of law enforcement, corrections or civilian; job title; grade; general duties, functions and responsibilities (which shall be descriptive and explanatory, but not restrictive) and minimum requirements for the position. Updated job descriptions may be submitted by the Sheriff or requested by the Council when necessary. The Council shall consult with the Sheriff on all updated or new job descriptions and maintain a file of the job descriptions. Job descriptions may be abolished if the position is not filled and is no longer deemed necessary by the Sheriff.

5.1.4 Requests for Reclassification

Individuals may submit, at their discretion, a request to the Sheriff for reclassification. If the Sheriff determines the request has merit it shall be submitted to the Council for action. If the Sheriff finds the request is not justified the individual shall be so advised and informed of their right to appeal the decision under the grievance procedure. No position shall be reclassified without the Council's approval.

5.2 Salaries

5.2.1 Adjustments

In order to assure the recruitment and retention of quality personnel, the level of compensation of employees should favorably compare with prevailing salaries in other law enforcement agencies in the immediate area and agencies in the region of comparable size and jurisdiction.

5.2.2 Merit Increases

Salary increases shall be implemented in accordance with the Salary Progression Scale. Cost-of-living increases, as approved by the County Commission, will increase each pay step according to the percentage approved.
5.2.3 Salary Progression

Rate of pay of employees in the Classified Service shall be determined on the basis of the current Salary Progression Scale.

Salaries shall be based on full-time employment at normal working hours for each grade in the Classified Service. The normal working hours may be altered in accordance with Federal and State law when deemed necessary by the Sheriff. Part-time employees in any of the grades shall be compensated on a proportional basis for actual hours worked unless otherwise determined by the Council.

5.2.4 Entry Salaries

The entrance salary shall normally be offered for new personnel recruitment purposes and normally be paid upon appointment to the specific grade. The Sheriff may approve (with Council approval) initial compensation at a higher level provided all of the following conditions are met:

a. The qualifications of the applicant are outstanding in relation to those of competing applicants.

b. His/her qualifications are substantially higher than those required for the job.

c. That there is a shortage of qualified applicants available at the minimum salary of the range.

5.2.5 Starting Salary upon Return to Duty

Re-Hires

Former employees (those meeting reinstatement provisions) who are re-hired within one year of leaving employment with the Sheriff’s Office at the same or a lower grade must be appointed to the step in the range equivalent to what they were being paid when they left employment.

Members of the Sheriff’s Office who currently hold non-merit protected positions who previously held Merit System protected positions may be re-instated to their previous Merit System protected position.

The Sheriff or Chief Deputy shall give notification of the re-instatement in writing to the Merit System stating the position the member is vacating and the position to which the member is being assigned.

Return from Military Service

Employees who leave the Sheriff’s Office to enter the Armed Forces may be reinstated in their previous or a similar position within three months upon release from active duty. They shall be re-instated at a salary comparable to what they would have received had they remained with the Sheriff’s Office, according to federal law.
5.2.6 Salary on Transfer, Demotion or Promotion

**Transfer** - Upon transfer the employee shall continue to be paid at the same step on the Salary Progression Schedule.

**Demotion** - Upon demotion the individual shall be paid at the same step in the lower grade, which is nearest to the sum of the present grade and step, minus 5%.

**Promotion** - Upon promotion, the individual shall be paid the step for the new grade, which is nearest to the sum of the present grade and step, plus 5%.

5.2.7 Continuous Service

Requirements for advancement within the salary ranges and for other purposes as specified herein shall be factored on continuous service (employment in the Sheriff’s Office without break or interruption). Continuous service shall be based on the following considerations:

a. Leaves of absence with pay shall not interrupt continuous service.

b. Leaves of absence without pay shall be deducted in computing total service, but shall not constitute a break in continuous service.

c. Absences for extended service in the Armed Forces of the United States are considered in computing total service.

d. Absences without leave of one workweek or more (without being granted sick or vacation days) shall interrupt continuous service and be deducted from total service.

e. Leave under the Family and Medical Leave Act (FMLA) of 1993 shall not interrupt continuous service.

**SECTION 6 RECRUITMENT AND RETENTION**

6.1 Filling Vacancies

6.1.1 General

a. All vacancies in the Classified Service shall be filled by regular appointment, temporary appointment, promotion, transfer or demotion and shall be selected from eligible candidates on the Furlough List or current Eligibility Lists.

b. Eligibility Lists shall be prepared by the Council staff for each classification (Law Enforcement, Corrections and Civilian) based upon competitive examinations and/or evaluations.
c. New applicants, placed on the eligibility list, shall remain on the Eligibility List for 1 year unless the Council grants an extension. One 1 year extension may be granted. Employees of the Sheriff’s Office shall remain on the Promotional Eligibility List for 2 years. Individuals shall be removed from the list by the Council for refusing an offer of appointment to a position or failure to report to duty at the time prescribed by the Sheriff after accepting an appointment to a position.

d. Individuals shall be recruited from a geographic area as wide as necessary to assure obtaining well-qualified candidates. In cases where Knox County residents and other county residents are equally qualified, the Knox County residents shall receive preference in filling vacancies.

e. Openings shall be advertised whenever applications on-hand expire. The Council staff shall establish the time and length of the advertisement. Normally this period will be factored to ensure notification to as many qualified persons as possible.

f. Standards regarding educational requirements shall be used where essential to ensure the performance of duties of the position or to meet the required standards of State and Federal guidelines for law enforcement agencies.

g. All candidates for the same positions shall be evaluated against the same qualification requirements.

* Candidates shall not be discriminated against because of race, color, sex, religion, national origin or physical handicaps, or for any unlawful discriminatory basis, which will not interfere with the duty performance.

6.1.2 Steps

When filling vacancies the following steps shall be followed:

a. The Sheriff shall notify the Council staff when entry-level or promotional vacancies exist and request the Council staff set interviews (for entry-level vacancies) from a list of eligible candidates stating the grade and title of the position(s) to be filled. (The Sheriff will set promotional interviews)

b. The Council staff shall first supply the Sheriff with a list of employees on the Furlough List (see section 10.3.d), if any, for the position(s) to be filled. If the positions cannot be filled from this list, or if no Furlough list exists, the Council shall certify the names of all persons on the Eligibility List (see section 6.1.9) and provide them to the Sheriff within the following timelines:

Vacant Entry Level Position-30 days
Promotional Position-30 days

c. The Sheriff shall evaluate the qualifications and select from the list(s) provided by the Council.
6.1.3 Eligibility Requirements

Applicants for positions in the Sheriff's Office shall meet the following requirements:

a. Be at least 21 years of age and bondable for law enforcement. All other positions have only an 18-year age requirement.

b. Be at least 19 years of age and bondable for law enforcement for a Corrections Officer.

c. Be a citizen of the United States.

d. Be a high school graduate or possess its equivalency, which shall include a general educational development (GED) certificate;

e. Have not been convicted of or pleaded guilty to or entered a plea of nolo contendere to any felony charge or to any violation of any federal or state laws or city ordinances relating to force, violence, theft, dishonesty, gambling, liquor, controlled substances or controlled analogues.

f. Have not been released or discharged from the Armed Services with less than a General Under Honorable Conditions Discharge.

Note: The Council may waive separations or General Discharges Under Honorable Conditions for those persons applying for Patrol and Corrections positions if waiver before TCI or POST is granted. Waivers will not be granted for Dishonorable, Undesirable, and Bad Conduct Discharges or Court Martial.

g. Have classifiable fingerprints on file with the Tennessee Bureau of Investigation.

h. Have or be eligible for a valid Tennessee Driver's License (for those individuals required to operate a motor vehicle).

i. Have been certified by a Tennessee licensed health care provider qualified in the psychiatric or psychological field as being free from any impairment, as set forth in the current edition of the Diagnostic and Statistical Manual of Mental Disorders (DSM) of the American Psychiatric Association at the time of the examination, that would, in the professional judgment of the examiner, affect the person's ability to perform an essential function of the job, with or without a reasonable accommodation.

j. Must pass a drug screen, as administered by a council and departmental approved laboratory, and a physical examination as administered by a council and departmental approved licensed physician.

k. Must have good moral character as determined by investigation.

l. Must achieve the minimum score as set by the Council on any required examination for eligibility for the position for which applying.
m. This section shall not apply to any jail administrator, jailer, corrections officer or guard hired prior to July 1, 2006.

6.1.4 Waivers

To the extent POST has certified officers under POST regulations in Law Enforcement positions and waived convictions or entries of nolo contendere to any felony charge or to any violation of any federal or state laws of municipal ordinances relating to force, violence, theft, dishonesty, gambling, liquor, controlled substances or controlled substance analogues, the Merit System Council will be deemed to have waived such requirement as well.

6.1.5 Application for Employment

a. To be considered for a position in the Classified Service an individual must submit an application of employment providing such information as the Council may require. Applications shall be available on Knox County Government's website.

b. Applications shall be accepted at times specified by the Council and shall consist of a completed standard form (Application for Employment) as well as all information required on the "Instruction Sheet" attached to the form. They shall be subject to the following conditions:

(1.) Incomplete applications shall not be considered.

(2.) Applications containing false statements or concealment of material facts, including arrests or citations (unless the charge or citation was dismissed and the dismissal is verified by the Council staff or written proof of the dismissal, acceptable to the Council, is submitted by the applicant) shall be considered invalid and the applicant shall be disqualified from eligibility for employment for one (1) year. If the false statements or concealment of material facts is discovered subsequent to the examination the applicant shall be removed from the Eligibility List for one (1) year. The applicant can reapply after one (1) year. If the applicant has been employed or promoted he or she shall be dismissed from the Sheriff’s Office.

6.1.6 Examinations For Promotions

a. Employees may take assessments for advancement or promotion within the Sheriff’s Office. Promotion assessments shall be offered at least twice a year or at intervals determined by the Council based on departmental needs identified by the Sheriff. The Council may change, as deemed appropriate, any examination or testing instrument when the need arises. Promotional assessments shall be administered in accordance with the following rules:

b. Employees shall be limited to taking only the assessment for the next level of advancement.
c. There is no limitation on the number of times an individual may take a scheduled assessment for the same position; however, if the assessment is failed, the assessment may not be taken again until six months have elapsed.

d. A candidate for promotional assessment who fails to attend a scheduled assessment and has a legitimate reason may contact the Council office to be re-scheduled by the Merit System Staff at a time within the testing schedule.

e. A candidate for promotional assessment who fails to attend a scheduled assessment and does not notify the Merit System Staff shall not be eligible to be re-scheduled for that assessment until 12 months have elapsed.

f. Promotional assessments shall include an oral assessment by an examining board, which shall include the sheriff or his designated representative.

6.1.7 Selection of Candidates for Employment

Employment Eligibility

The Executive Director or his/her designee shall make selection of candidates for placement on Employment Eligibility Lists. Placement on the eligibility list shall be based on the relative qualifications of all applicants according to the following criteria:

a. Evaluation of education, experience, and other related information as appropriate.

b. Successful completion of a background investigation of the character and reputation of the candidate including previous employment records and personal references (provided by the candidate on their application).

c. Passing the appropriate examination.

Each applicant will be notified, in writing, of his or her placement or non-placement on the eligibility list. Applicants may appeal their non-placement to the Merit Council.

6.2 Probationary Periods

6.2.1 New Employees

Every new employee who works on a continuous basis shall serve a probationary period of 12 months excluding leaves of absence. During this period the individual shall be monitored to ensure that performance standards are met and shall receive one written performance evaluation at six months. These performance evaluations shall be prepared by the individual's immediate supervisor, reviewed by the Sheriff and provided to the employee.
Note: Appeals of an "unsatisfactory" performance rating may be made within 30 days of receipt of the written rating from the Sheriff. Appeals must be made in writing listing the reasons and evidence for the appeal and submitted to the Sheriff.

If sub-standard performance or conduct is identified, the individual shall be promptly counseled or disciplined after appropriate investigation by the immediate supervisor or authorized members of the Sheriff’s Office. The corrective action shall be documented and considered at the time of the performance evaluation.

At any time during the probationary period the Sheriff may terminate the individual’s employment for cause or if he deems it in the best interest of the Sheriff’s Office. This termination may not be appealed through the Merit Council. The Council shall be informed of the action within 14 days of the termination.

Note: A termination shall not preclude an individual from applying for future service with the Sheriff’s Office, but the circumstances of the termination may be considered in the employment process.

6.2.2 Promotion

a. Promotion to a supervisory position shall be probationary for a period of six months. Individuals who fail this probationary period shall be returned to their former or similar position and former pay grade and step without prejudice.

b. Promotion to a non-supervisory position shall be probationary for a period of 12 months. Individuals who fail this probationary period shall be returned to their former or similar position and former pay grade and step without prejudice.

6.3 Temporary Appointments

All temporary appointments shall be approved by the Council. These appointments will be used to fill a position that has been temporarily vacated by an employee that the Sheriff expects to return in a determined amount of time, such as, military active duty, etc., at which time the individual shall be either separated or their status changed to a regular employee providing the individual meets the performance requirements for the position and a position is open that the temporary employee is qualified for. No temporary appointment shall be for more than three months except by special action of the council.

Note: Temporary service shall not be used when computing merit raises or promotional time requirements.
6.4 Part-Time Positions

Part-time positions may be established by the Sheriff when deemed necessary. The Sheriff shall inform the council when these positions are established and/or abolished.

6.5 Psychological and Physical Health Evaluations

All classified employees in the Sheriff’s Office must possess and maintain certain psychological, physical and legal standards to retain employment. Failure to successfully pass any of the required evaluations shall be grounds for separation or dismissal. Evaluations and examinations (as determined by the Council) shall be administered in accordance with the following:

Initial Employment – Psychological evaluation, physical examination, background check, and a drug and alcohol screening are required.

For Cause - Employees may be required to undergo psychological evaluations, physical exams, and drug and/or alcohol screenings when situations or evidence indicate there is a need. The Sheriff shall make the determination when these "For Cause" evaluations are required.

Note: Individuals in corrections and law enforcement must be found certifiable under the provisions of T.C.A. 38-8-106 as a result of the psychological evaluation in order to maintain their position with the Sheriff’s Office.

SECTION 7 PROMOTIONS AND DEMOTIONS

7.1 Promotion Policy

7.1.1 General

Vacancies

a. The Sheriff shall make all openings in the Sheriff’s Office known to employees by posting them a minimum of seven days on bulletin boards and by other means deemed appropriate. Advertisements shall include the following as a minimum:

- Job Title
- Job Description
- Grade
- Opening and closing date of posting
- Minimum time-in-grade requirements
- Qualifications

b. Employees interested in being considered should notify the Merit System Council in writing via the email bid process by the closing date of the posting. Those on the current Eligibility List who have been previously denied promotion shall not be prohibited from re-applying. No supervisor shall deny any employee permission to apply for a promotion opportunity.
c. All candidates bidding on a position shall be given fair and impartial consideration by the Sheriff. A candidate for promotion who applies for a vacancy, but is not selected, shall have the right of an explanation by the Sheriff. Such an interview shall be requested in writing and the interview shall be conducted by the Sheriff within 30 days of receipt of the request.

d. Selection for Promotions and/or Transfers shall:

(1) Be on a competitive basis based on merit.

(2) Be open to all qualified employees in the Classified Service.

(3) Be selected from the appropriate Eligibility List approved by the Council.

Note: Selections for promotion not covered by an Eligibility List selection shall be based on education, training, experience, performance evaluations and ability to perform the job. Individuals selected for promotion must meet the minimum time-in-service requirements established by the Council for the grade in which they are to be promoted. The following are these requirements:

<table>
<thead>
<tr>
<th>Position</th>
<th>Requirement</th>
</tr>
</thead>
<tbody>
<tr>
<td>Corrections Corporal</td>
<td>1 year as Corrections Officer with the Sheriff’s Office.</td>
</tr>
<tr>
<td>Corrections Sergeant</td>
<td>1 year as Corrections Corporal with the Sheriff’s Office. 2 years experience in Law Enforcement.</td>
</tr>
<tr>
<td>Corrections Sergeant II</td>
<td>Minimum of 20 years of service with 10 years having been served as a Corrections Sergeant with the Knox County Sheriff’s Office.</td>
</tr>
<tr>
<td>Corrections Lieutenant</td>
<td>Minimum of 1 year as Corrections Sergeant and 3 years experience in Law Enforcement.</td>
</tr>
<tr>
<td>Corrections Captain</td>
<td>Minimum of 6 months as Corrections Lieutenant and 5 years experience in Law Enforcement.</td>
</tr>
<tr>
<td>Asst. Chief of Corrections</td>
<td>Minimum of 6 months as Corrections Captain and 4 years experience in Law Enforcement.</td>
</tr>
<tr>
<td>Chief of Corrections</td>
<td>Minimum of 6 months as an Asst. Chief of Corrections and 7 years experience in Law Enforcement.</td>
</tr>
<tr>
<td>Director of Corrections</td>
<td>Minimum of 2 years as a Chief of Corrections and 10 years experience in Law Enforcement.</td>
</tr>
<tr>
<td>Law Enforcement Sergeant</td>
<td>Minimum of 2 years experience as a Law Enforcement Officer.</td>
</tr>
</tbody>
</table>
Detective  Minimum of 2 years experience as a Law Enforcement Officer.

Law Enforcement Sergeant II  Minimum of 20 years service with 10 years as a Law Enforcement Sergeant with the Knox County Sheriff’s Office.

Law Enforcement Lieutenant  Minimum of 6 months as a Law Enforcement Sergeant or Detective.
Minimum of 4 years experience in Law Enforcement.

Law Enforcement Captain  Minimum of 6 months as a Law Enforcement Lieutenant or Detective Lieutenant.
Minimum of 4 years experience in Law Enforcement.

Asst. Chief Deputy  Minimum of 1 year as a Law Enforcement Captain.
Minimum of 15 years Law Enforcement experience.

7.1.2 Promotion Program

General
The Council shall have the authority to change or modify the Promotion Program as deemed necessary.

Eligibility
Eligibility for promotions shall be based on assessment panel interviews established by grade by the Council. A Candidate must have completed the probationary period for the Candidate’s current position before a promotional assessment can be administered. Candidates achieving the minimum acceptable score on the promotional assessment (established by the Council) shall be placed on the eligibility list.

Names of Candidates selected for promotion by the Sheriff, the position to which they are to be promoted and the effective date of the promotion shall be promptly submitted in writing to the Council upon completion of the Sheriff’s selection.

7.2 Demotions
The Sheriff may demote an employee to a position of a lower grade for any of the following reasons:

a. When an employee would otherwise be laid off because of their position being reclassified to a lower grade.
b. Lack of funds.

c. Return to work from authorized leave of another employee who by "the rules of leave" shall have the right to occupy the incumbent’s position.

d. Failure to perform the assigned duties in a satisfactory manner.

e. Upon voluntary request by the employee.

f. The council may, upon request of and by the advice of the sheriff, create new positions or combine, alter or abolish existing positions in such manner as the board acting under the advice of the sheriff deems necessary for the effective operation of the office of sheriff. No position in the classified service shall be abolished except upon approval of the council acting in good faith upon the advice of the sheriff.

Note: A demoted employee has the right to appeal any of the above actions by initiating a grievance.

7.3 Transfers

a. The Sheriff may transfer personnel within their respective grades based on mission requirements of the Sheriff’s Office. Any employment benefits lost due to these transfers shall not be considered an adverse employment action or demotion. Individuals being transferred have the right to grievance procedures if they feel that the transfer was not mission essential, was a political decision, or was a violation of rules or regulations.

b. A Corrections Division Supervisor may bid on a supervisory position in patrol (if state certified) and they have met the required time-in-grade for field positions and are on the appropriate eligibility list. A Patrol Division Supervisor may bid on a supervisory position in Corrections, if they have met the required time in grade for Corrections supervisory positions and are on the appropriate eligibility list. An employee may also voluntarily relinquish their rank to bid on the highest Patrol or Corrections Supervisory position for which they have met the required time-in-grade requirements and are on the appropriate eligibility list.

SECTION 8 PERFORMANCE EVALUATIONS

8.1 The Sheriff will promptly forward complete Performance Evaluations to the Council of those employees in the classified service or those on probation to become part of the classified service.

SECTION 9 DISCIPLINARY ACTIONS

9.1 Reprimands
Reprimands should only be used when positive leadership techniques have failed to accomplish satisfactory performance or to admonish deliberate violations of policies and regulations. Reprimands, when deemed necessary, shall be conducted in the following manner:

**Oral Reprimand (Counseling Form)**

An oral reprimand shall normally be given first. The immediate supervisor, in private, should conduct it with the individual concerned. It should be done in a positive and professional manner in a way not to humiliate or demean the individual, but shall be firm and contain specific corrective measures expected by the supervisor. The supervisor should initiate or take appropriate means necessary to correct the problem. The oral reprimand shall be documented in writing by the immediate supervisor stating the reason(s) for the reprimand, the date and time of the reprimand and any corrective action taken. This document shall be forwarded within two working days to the Executive Director for file in the employee's personnel folder.

**Written Reprimand**

A written reprimand shall be given (if deemed appropriate by the immediate supervisor) or as a follow-up when an oral reprimand has not been sufficient. In either case it shall be administered in the same manner as an oral reprimand. The written reprimand shall be documented in the same manner as an oral reprimand; however, the supervisor must also obtain the signature of the employee. A copy shall be provided to the employee and a copy sent to the Executive Director for file in the employee's personnel folder.

**9.2 Suspensions**

An employee in the Classified Service may be suspended by the Sheriff, with or without pay, for documented reasons of misconduct, negligence, inefficiency, insubordination, disloyalty, unauthorized absence or other justifiable reasons when alternative personnel actions are not appropriate. Suspensions shall be administered in accordance with the following rules:

**3-Day Suspensions**

* Immediate suspension up to three days for cause may be initiated by the Sheriff with no right of appeal, unless there is a subsequent suspension within six months of the first. If so, the individual has the right to appeal in the same manner as for a suspension over three days.

**Over 3-Day Suspensions**

* Employees given suspensions over three days shall be informed in writing seven days prior to the proposed suspension by the Sheriff explaining the reasons for the suspension and the individuals right to submit a written explanation answering the charges. This explanation must be submitted within three days of receipt. If the individual does not reply, the Sheriff may execute the suspension automatically on the proposed day. If an explanation is received, within the time
limit, the Sheriff shall carefully consider the reply and shall promptly inform the individual in writing of his decision.

* If the individual is not satisfied with the Sheriff's decision he/she may request a hearing before the Council in accordance with the instructions in Section 9.4. The Executive Director shall notify the Sheriff when such a request is received. Additionally, the Sheriff shall not execute the suspension until the Council has ruled on the request.

* Immediate suspension for over three days without the seven-day prior notice may be initiated by the Sheriff if deemed in the best interest of the Sheriff’s Office, including but not limited to, cases involving theft of funds, unlawful use of property, insubordination or intoxication on duty. In these cases the employee still has the right to request a hearing by the Council; however, the hearing date shall not delay the suspension. If the Council finds that the Sheriff's actions were inappropriate the individual shall be reinstated without prejudice and with back pay.

9.3 Dismissals

9.3.1 Cause

The Sheriff may dismiss "for cause" any employee for the good of the Sheriff’s Office under the following rules and the dismissal shall be based on one or more of the following:

a. Failure to meet performance requirements.

b. Moral or ethical situations that make the employee unsuitable.

c. Theft or wanton destruction of property.

d. Incompetence, inefficiency or negligence in the performance of duty.

e. Insubordination that constitutes a serious breach of discipline.

f. Conviction of a criminal offense.

g. Notoriously disgraceful personal conduct.

h. Unauthorized absences or abuse of leave privileges.

i. Acceptance of any gratuity, money or material item in the line of duty.

j. Falsification of records or use of official position for personal advantage.

k. Political activity prohibited by these policies and procedures.

l. False testimony in any investigation, hearing or official proceeding.
9.3.2 Procedure

The employee shall be informed in writing by the Sheriff at least seven days prior to the proposed dismissal date explaining the reasons for the dismissal and the individual's right to submit a written explanation answering the charges. This explanation must be submitted within three days of receipt. If the individual does not reply within the time limit, the Sheriff may execute the dismissal automatically on the proposed day. If an explanation is received within the time limit, the Sheriff shall carefully consider the reply and shall promptly inform the individual in writing of his decision within three days of receipt with a copy being forwarded to the Merit Council Office.

Note: At the discretion of the Sheriff the individual may be retained in a duty status or placed on leave with or without pay pending resolution of the dismissal.

9.3.3 Resignation While Under Investigation

Former employees of the Sheriff’s Office who have been dismissed, resigned or otherwise left while dismissal charges were pending or an internal affairs investigation was ongoing shall not be eligible for reemployment with the Sheriff’s Office.

9.3.4 Hearing

Personnel dismissed by the Sheriff have the right to request a hearing with the Council in accordance with these procedures in Section 9.4. If the Council elects to conduct the hearing and finds that the Sheriff’s dismissal was inappropriate, the individual shall be reinstated without prejudice and with back pay.

Note: Section 9.3 does not apply to probationary employees.

9.4 Request for Hearing

9.4.1 General

a. Any appeal authorized by these policies and procedures shall be made in writing, within ten days of the final decision of the Sheriff, explaining the reasons why a hearing should be conducted. This explanation should be objective and provide specific reasons why the Sheriff’s actions were inappropriate. The Council shall determine whether or not a hearing shall be conducted and if so, notify the individual, the Sheriff and all parties concerned, at least seven days prior to the scheduled hearing.

b. The Executive Director, if necessary to compel the attendance of a witness and/or the production of records and papers pertaining to a hearing, may issue subpoenas. Failure of any member of the Sheriff's Office to comply with a subpoena shall be grounds for disciplinary
action. The Sheriff shall ensure that all subpoenas are served and complied with in a timely manner.

9.4.2 Hearing Procedure

The following rules shall be followed by the Council in conducting of a hearing:

a. The Chairperson (or designee) shall preside at the hearing and conduct it in an orderly, respectful, and expeditious manner ensuring that all facts bearing on the charges and issues are presented in an objective and impartial manner.

b. The Council may appoint a Hearing Officer to preside at the hearing and handle objections, rulings on procedural matters, admission of evidence and administration of the proceedings. However, the Hearing Officer shall not have a vote pertaining to the rulings of the Council and any rulings of the Hearing Officer are subject to the approval of the Council.

c. All parties to the hearing shall have the right to appear before the Council in person and to retain counsel at their own cost. If counsel is to be retained by any party, the Council shall be informed in writing prior to the hearing listing the counsel’s name and legal expertise. The Counsel must be either a licensed lawyer or other person certified by the Council.

d. All parties shall have the right to call witnesses to testify and to present material evidence bearing on the issues and charges being addressed. Individuals shall testify under oath administered by the Chairperson or his designee.

e. The rules of evidence developed by the judicial system for the use in the Courts of Tennessee shall not necessarily prohibit the admission of evidence or testimony providing such, in the opinion of the Council, will aid in the Council's ruling.

f. Not later than fourteen (14) days before the date set for the hearing, the Sheriff’s Office and the employee appealing the disciplinary action each shall file a pre-hearing statement by mailing or delivering it to the Executive Director. Each pre-hearing statement shall outline the party’s contention why the disciplinary action should be upheld or overturned. The employee, additionally, shall specify in the statement whether, with respect to the disciplinary action taken, (1) the employee claims or does not claim that the Sheriff has not complied with the procedures established by the Council, (2) the employee claims or does not claim that the disciplinary action occurred for political reasons, and (3) the employee claims or does not claim that the Sheriff was arbitrary in the interpretation of “cause.” The employee also shall submit, along with the employee’s pre-hearing statement, a copy of any documents, records, or other items that the employee contends are relevant to the disciplinary action appeal. The Sheriff’s Office also shall submit, along with its pre-hearing statement, a copy of all relevant internal affairs unit reports and interviews, and also shall submit any other documents, records, or other items that the Sheriff contends are relevant to the disciplinary action appeal. Prior to the hearing, the Executive Director shall provide a copy of both parties’ pre-hearing statements and all items submitted with the statements to each Council
member so that each member has the opportunity to read and examine the pre-hearing statements and items prior to the date of the hearing. A copy of each party’s pre-hearing statement and attachments shall be delivered or mailed by the party to the other party contemporaneously with the party’s filing of the items with the Executive Director.

g. In accordance with the "Open Meeting Act" each Council member shall furnish a written independent decision to be used in public deliberation, if any, on the date the Council renders its final decision. The official record of the final decision shall be the minutes of the Council meeting to be written within 30 days of the decision. Separate opinions, including dissenting opinions, may be filed by Council members and kept part of the official record. The final Council decision must carry the approval of the majority of the Council members.

h. The oral proceedings shall be electronically recorded or manually transcribed by the Council and maintained on file. No other recording devices shall be allowed without approval of the Council. Copies of electronic recorded hearings or transcribed copies can be provided at a reasonable compensatory fee.

i. If the Council finds that the Sheriff has not complied with the procedures established by the Council or that the dismissal had occurred for political reasons or that the Sheriff has been arbitrary in the interpretation of cause, the Council may order the employee to be reinstated with back pay.

9.5 Right of Grievance

9.5.1 General

A grievance is defined as any perceived wrong, considered as grounds for complaint arising out of a personnel action.

a. Grievances shall be resolved informally, if possible, by employee’s chain-of-command (as detailed below) If this is not possible the individual involved has the right to a formal review as provided for in these Policies and Procedures.

b. An individual must first present his grievance in writing to his immediate supervisor listing specific details regarding the perceived wrong. The supervisor shall attempt to resolve the complaint promptly and fairly.

c. If the individual is not satisfied with the decision of their immediate supervisor he/she may submit their grievance in writing to the Division Head. The Division Head shall make a separate investigation and inform the employee in writing of the decision and the reasons for it within seven days of receipt of the written grievance. The Division Head shall also get the individual's signature and date on the written decision (as proof of receipt) and maintain a copy on file with a copy going to the employee and Merit Council Office for placement in the employee’s personnel file.
d. If the individual is not satisfied with the decision of the Division Head he/she may appeal in writing within seven days to the Sheriff for a review of the Division Head's decision.

e. The Sheriff shall make an appropriate investigation of the matter, as he deems necessary, and shall render his decision in writing to the individual within seven days of receipt of the appeal. A copy shall be sent to the Merit Council for placement in the employees personnel file.

f. If the individual (must be in the Classified Service) is not satisfied with the decision of the Sheriff, and the personnel action results in any loss of salary to the employee, he/she may appeal to the Council in writing for a review of the Sheriff’s decision within ten (10) days of its receipt. The term "salary" does not include property of the Sheriff’s Office assigned to an employee for the purpose of performing his/her duties.

SECTION 10 SEPARATIONS

10.1 General

Separation of employees from the Classified Service may be the result of one of the following:

- Resignation, Lay-Off, Leave, Dismissal, Probationary Removal, Disability, Death, Retirement

a. Employees at the time of separation, but prior to final payment shall turn in all records and property belonging to the Sheriff’s Office and sign a certification (provided by the Sheriff) attesting to this fact. If property is missing the individual shall pay for the loss or the amount shall be deducted from the individual's final payment.

b. Employees who leave the classified service for any reason shall receive, as salary, payment for all earned salary, all compensatory time due, and any unused holiday and/or vacation leave earned.

10.2 Resignations

a. An Employee may resign by submitting a written explanation with the effective date to the Sheriff within two weeks of the last working day. It is encouraged that the Sheriff be given as much advanced notice as possible in order to ensure continuity of operations in the Sheriff’s Office.

b. An employee who resigns in good order shall retain their seniority and may be eligible for re-employment (within one year), in the same or lower grade, providing they meet all other requirements.
10.3 Lay-Offs

Positions may be discontinued or abolished because of lack of funds, or changes in duties by the Sheriff. The council may, upon request of and by the advice of the sheriff, create new positions or combine, alter or abolish existing positions in such manner as the board acting under the advice of the sheriff deems necessary for the effective operation of the office of sheriff. No position in the classified service shall be abolished except upon approval of the council acting in good faith upon the advice of the sheriff.

In these cases the Sheriff shall determine the affected employee’s order of lay-off by the relative suitability of the individuals for the remaining jobs. This shall be done in accordance with the following:

a. Employees subject to lay-off shall be notified in writing by the Sheriff’s Office at least 14 days prior to the effective date.

b. Regular employees who are identified for separation may displace temporary or probationary employees if they are qualified and apply for the positions.

c. Employees who are laid off shall be considered for future appointments in any new employee's class for which qualified.

d. When a reduction in force occurs, the Council shall prepare and maintain (in coordination with Sheriff) a Furlough List containing all of the names of the affected personnel by classified position. Each name shall be placed under the classified position(s) (non-temporary) that the person has held. The names under the classified positions shall be arranged by length of service in the Sheriff’s Office starting with the most senior person. Individuals shall be retained on the Furlough List for a period of two years. Only persons who have completed their one-year probationary period are qualified to be placed on the list.

e. Individuals shall be removed from the list by the Council for refusing an offer of appointment to a permanent position or failure to report to duty at the time prescribed by the Sheriff after accepting an appointment to a permanent position.

SECTION 11 LEAVE

11.1 Personal Leave

The Sheriff, with the consent of the Council, may authorize personal leave with or without pay. It shall be granted only if adequate law enforcement of the county and/or police operations is not degraded. This leave may be granted for a period not to exceed 12 months and is subject to renewal (if conditions warrant and approved by the Sheriff and the Council). It is the responsibility of the employee to request an extension in writing to the Sheriff within 14 days of the report date. Employees who fail to return at the end of an authorized leave, without an acceptable excuse, shall be considered as having voluntarily resigned as of the last day worked.
11.2 Annual Leave

Annual leave shall be granted and encouraged by the Sheriff (dependent upon operational needs) to promote employee efficiency, health and morale. Employees under the Classified System shall be granted annual leave in accordance with the following rules:

a. Leave shall be planned based on tentative vacation schedules prepared by each Division Head based on seniority of the individuals. The scheduled should be arranged so as not to interfere with the operations of the Division.

b. Annual leave may be taken during the calendar year which it is earned or you may carry it over for use in later years. The maximum number of hours that an employee is allowed to accumulate and carry over to the next calendar year is:

<table>
<thead>
<tr>
<th>Years of Service</th>
<th>Maximum accrued hours</th>
</tr>
</thead>
<tbody>
<tr>
<td>1-8</td>
<td>288</td>
</tr>
<tr>
<td>9-20</td>
<td>312</td>
</tr>
<tr>
<td>*Over 20</td>
<td>344</td>
</tr>
</tbody>
</table>

Any hours in excess of the allowed maximum accrued hours (based on employee’s years of service) as of the first day of every calendar year will be transferred to the employees sick leave account.

c. New employees shall earn one day of vacation time per full month of employment during the initial calendar year of employment. Vacation time will not be earned for any partial pay period.

d. Employees with one or more years of employment shall earn annual leave in accordance with Knox County Sheriff’s Office General Orders (as revised).

e. Employees who leave the classified service for any reason shall receive, as salary, payment for any unused vacation leave earned. Those who, for any reason, have taken more vacation leave than they have earned shall have the corresponding salary deducted from their termination paycheck.

f. Annual leave for employees with a break in service (resignation, leave of absence, etc.) shall be calculated in the same manner as annual leave for new employees.

11.3 Holiday Leave

Holiday leave shall be granted and encouraged by the Sheriff (dependent upon operational needs) to promote employee efficiency, health and morale. Employees under the Classified System shall be granted holiday leave in accordance with the following rules:
a. Leave shall be planned based on tentative work schedules prepared by each Division Head. The schedule should be arranged so as not to interfere with the operations of the Division. However, supervisors must allow employees to use all accrued Holiday time before the end of the year, as it is not permitted for this time to be carried over to the next calendar year.

b. Employees shall earn eight (8) hours of holiday time per Knox County Government observed holidays during their time of active duty status. Holidays occurring during any unpaid leave of absences will not be earned.

c. Employees who leave service for any reason shall receive, as salary, payment for any unused holiday leave earned. Those who, for any reason, have taken more holiday or vacation leave than they have earned shall have the corresponding salary deducted from their termination paycheck.

11.4 Sick Leave

Sick leave with pay shall be used when an individual is incapacitated by sickness or a non-service connected injury. These shall include medical, dental or optical diagnosis or treatment and absences to preclude exposure of Sheriff’s Office personnel from contagious disease. Sick leave shall be governed by the following rules:

a. Six work days shall be credited to an employee after the completion of the first six months of continuous service and accredited one day for each succeeding month of successive work. There is no maximum accrual for sick leave purposes. Accumulated sick leave up to 360 days may be used as credited service for retirement purposes only after 25 years of service. Employees should give their supervisors as much notice of an absence as possible.

b. The number of days shall not normally exceed the total time accredited. Sick leave in excess of this may be charged to annual leave.

c. On or before the third day of sick leave, the employee must present to his/her supervisor, a valid doctor's statement of the illness. Additionally, loss of pay due to the abuse of sick leave policy is not considered "loss of pay" for purposes of filing a grievance.

d. Employees eligible for leave under the Family and Medical Leave Act (FMLA) are entitled to 12 weeks of unpaid leave during a 12-month period. The employee is eligible for FMLA if he/she has been employed for a total of at least 12 months, worked at least 1,250 hours and is needed to care for a family member (child, spouse, or parent) with a serious health condition, or the individual’s health prevents him/her from performing their job. The employee may (at the discretion of the Sheriff) be required to provide proof or certification of the medical condition.

11.5 Military Leave

Any member of a United States Armed Forces Reserve Unit who is required to perform active or inactive duty training for a period not to exceed twenty (20) days each year, whether voluntarily or not, is entitled to a military leave of absence. Any military leave that exceeds twenty (20)
days may be taken as unpaid leave or paid leave at the employee’s discretion. If the employee chooses to use paid leave, all available accruals will be allowed with the exception of sick leave. A copy of the Active Duty Orders when available shall be forwarded to the employee’s immediate supervisor; the supervisor shall then forward them to the time keeper and the Sheriff’s Human Resource Office.

11.6 Maternity Leave

Employees may be granted Maternity leave without pay during the period of time they are unable to work immediately prior to and subsequent to the birth of a child. Maternity leave shall not exceed a period of one year unless evidence is provided to support an extension. Individuals failing to return to duty at the end of the Maternity leave shall terminate as of the last day worked. Employees (male and female) are eligible for leave under the Family and Medical Leave Act (FMLA) are entitled to 12 weeks of unpaid leave during a 12 month period if they have been employed for a total of at least 12 months and worked at least 1,250 hours at start date of the leave. The leave must involve the care of a new-born, foster or adopted child. The FMLA leave right expires 12 months after the birth or placement with the employee.

11.7 Disability Leave or Death

Employees may be granted Disability leave when they cannot perform the required duties of their positions because of physical or mental impairment. Request for this leave must be supported by adequate medical evidence and may be initiated by the employee, their legal representative, or the Sheriff. Requests shall be submitted to the Council for approval. The Council reserves the right to have an independent medical examination of the individual at Council's expense.

Should an individual die while employed by the Sheriff’s Office the date of separation shall be the date of death. All compensation due the employee shall be paid to the designated beneficiary or as designated by the estate.

11.8 Compassion Leave

All employees shall be granted up to three consecutive Compassion leave days with pay in the event of a death in the employee's immediate family (spouse, son, daughter, mother, father, brother, sister, brother in-law, sister in-law, grandparents, grandparents in-law, mother in-law, father in-law, and all previously described family members as step lineage).

Employees unable to return to work at the end of this period may request accrued annual or sick leave. This leave may be taken in addition to the individual’s scheduled days off. The employee shall notify their immediate supervisor as soon as possible of the death and the need for additional leave if required.
11.9 Jury Duty or Witness Duty Leave

Employees will be granted leave when jury or witness duty necessitates the absence of an employee from the job. The employee must give notice to the supervisor if leave is required. Employees will be paid their regular salary; therefore, employees are required to turn in any pay they receive, from the courts, to the payroll department. Anytime the jury assignment is completed during work hours, the employee is expected to return to work immediately. The Sheriff’s Office will work with the employee to accommodate for jury or witness duty impact on shift assignments when possible.

SECTION 12 ON-THE-JOB-INJURY BENEFITS

Members of the Sheriff’s Office in the Classified System (uniformed and civilian) who sustain traumatic injury(s) in the performance of routine law enforcement activities and/or when acting under specific direction of General Orders of the Sheriff’s Office while off-duty are authorized O.J.I. benefits. These duties may include: investigations, pursuits, or the processing, booking, identification, transportation, maintenance of order, and safekeeping of inmates. They do not include injuries sustained in the performance of clerical or office related functions.

Note: A "traumatic" injury is a physical injury, which requires prompt medical attention and hampers or enables the individual from performing their duties.

a. O.J.I. benefits shall be paid as determined by the Sheriff. The injured employee may use existing sick days until the Sheriff can determine eligibility for O.J.I. benefits. If the Sheriff determines that the individual is eligible the sick days used shall be credited back to the individual upon receipt of O.J.I. benefits. If the Sheriff determines that the individual is not eligible for O.J.I. benefits the employee may appeal to the O.J.I. Board in writing. The O.J.I. Board shall have the final decision as to the eligibility of benefits.

Note: The O.J.I Board shall consist of three representatives, one from each of the following: the Sheriff's Office, the Council and the employee's elected Promotion Board Member.

b. If the injury is the result of an act or omission of a third party who may be liable (for any part) to the employee, Knox County and the Sheriff's Office shall be entitled to subrogation to the third party claim of the employee in an amount not to exceed the full value of the O.J.I. benefit and any Worker's Compensation benefits which may or will be paid to the employee.

c. Knox County and the Sheriff's Office shall be entitled to deduct and set-off the amount of any benefits paid under the O.J.I. benefits from any award of benefits granted under the Tennessee Worker's Compensation Act.

d. O.J.I. benefits shall be applied according to the following steps:

1. A maximum of three months (90 calendar days) pay at full salary may be initially authorized.
2. A review shall be conducted by the O.J.I. Board after the end of the first three months (90 calendar days) to review the case and determine if an extension is appropriate and may authorize up to an additional three months at one month intervals. The case shall be reviewed at 30-day intervals.

3. Thirty days prior to the end of step 2, the employee (or designated representative) may apply in writing to the O.J.I. Board for an extension of an additional six months. If approved, the Board shall review the case every 30 days. The Board may, at any time after the approval of this extension, invoke the benefits identified in step 4.

Note: Benefits in steps 1-3 are in lieu of any Worker's Compensation benefits other than medical.

4. When the Board has determined that the employee has received all full-pay benefits it may grant a period of 28 working days during which the employee shall be paid an amount equal to 80% of their regular salary factoring the worker's compensation dollars and any difference made up by the Sheriff's Office. At the end of this period they may approve an additional 28 working days during which the employee shall be paid an amount equal to 70% of their regular salary factored in the same manner as before.

Note: Regular sick and annual leave shall continue to be credited during steps 1, 2, and 3, but not in step 4. At the end of all intervals of paid benefits an employee shall have the opportunity to use all accrued sick and / or annual leave before being placed on full worker's compensation as provided by the County.

e. Benefits shall be subject to verification by a licensed physician selected from a list approved by the County. The Sheriff has the right to get a second medical opinion (at no cost to the employee) from a doctor selected by the O.J.I. Board.

f. Vacancies due to an injured employee shall not be filled until the employee has received all O.J.I. benefits to which they are entitled.

g. The injured employee is responsible to provide the O.J.I. Board medical verification of injuries sustained.

i. Compensations shall not be allowed for an injury due to willful misconduct, use of illegal drugs, alcohol intoxication or self-inflicted injuries. The Sheriff shall be responsible to provide evidence of these facts.

j. Employees with injuries not eligible for O.J.I. Benefits shall be paid as provided by the Knox County Plan.

k. All on-the-job injuries shall be reported and paid in accordance with of the State of Tennessee Worker's Compensation Law.

l. All medical benefits for on-the-job injuries shall be paid as provided in the State of Tennessee Worker's Compensation Act.
SECTION 13 RETIREMENT

Retirement shall be provided by the Knox County Retirement System.

SECTION 14 MERIT COUNCIL RECORDS

14.1 Required Records

Records and access shall be maintained by the Council for each of the following:

a. Every applicant who reaches the eligibility list.

b. Every Employee in the classified service shall have personnel file maintained. The file shall include:
   (1) Position, rank, compensation and place of residence.
   (2) Employment application and related documents.
   (3) Performance Evaluations, both probationary and annual.
   (4) Commendations
   (5) Reprimands.
   (6) Applications for promotion and related documents.
   (7) Records of pay changes.
   (8) Records of leaves of absence, suspensions, promotion and demotions, these shall reflect dates, duration and reasons when applicable.
   (9) Notations of any Council actions relating to the employee.
   (10) Family Medical Leave Act (FMLA) records shall be maintained for three years as follows:
      a. Basic payroll data.
      b. Date of FMLA leave
      c. Hours of FMLA leave
      d. Copies of all notices given the employee and employer pertaining to FMLA leave
      e. Records of FMLA disputes between the employee and the Sheriff’s Office
SECTION 15 CONFIDENTIALITY OF RECORDS

a. Medical records relevant to the FMLA shall be kept separate and confidential, with information only being disclosed to supervisors, emergency personnel, and government compliance officials.

b. All reports of investigations and inquiries into the character, mental and physical conditions, and the reputation of the applicant shall be kept confidential and open to only Council members and other parties the Council deems necessary in performance of their duties.

c. All examination materials developed, maintained and administered by the Council shall be kept confidential and shall not be open to inspection.
ATTACHMENT 1

ARTICLE II. SHERIFF
DIVISION 1. GENERALLY


DIVISION 2. EMPLOYEES' MERIT SYSTEM

Sec. 42-51. Established.

There is hereby established a merit system for employees of the county sheriff's department.

(Ord. No. O-90-9-111, § 1, 9-10-90)

Sec. 42-52. Positions and employees included in system.

The classified service to which this division shall apply shall include all positions and employees in the sheriff's department, except for the sheriff, his chief deputy, his personal secretary, seven other positions at his discretion, those employees whose income is derived primarily from fees for service of civil papers, and those employees serving primarily as court officers and bailiffs.


Sec. 42-53. Merit system council.

(a) Created. There is hereby created a merit system council, composed of three members elected by the commission to administer the terms of this division.

(b) Alternate member. The county commission shall have the authority to appoint an alternate member to the merit system council. The commission shall appoint such member at the next regularly scheduled meeting of the commission upon taking nominations for such alternate position and voting thereon. Such alternate shall serve for a period of one year. The alternate may be designated by the chairman of the council to sit in place of any regular member who, by reason of absence, will not participate in the consideration of any matter before the council.

(c) Terms. The terms of the members of the merit system council shall be three years; provided, however, that no member of the council shall serve more than two consecutive terms.

(d) Qualifications. All members of the merit system council must be over 21 years of age; of good moral character; a citizen of the United States and the state; and must reside in the county. No person shall be eligible to serve as a member of the council if he has previously been employed by the department within the five calendar years preceding his appointment by the commission, or if any member of such person's immediate family is or becomes employed by the county sheriff's department at any time during such person's term of service as a member of the council. For purposes of this section, "immediate family" shall include spouse, parent, siblings, or children.
(e) **Compensation.** The members of the merit system council shall receive such salary, if any, as determined by the commission in its sound discretion.

(f) **Officers.** At the first quarterly meeting of each calendar year, the Merit System Council shall elect a Chairperson and a Vice Chairperson whose parliamentary duties shall be the same as those as defined for the officers of the County Commission. The commission shall provide for the designation of a personnel officer who shall be the keeper of the personnel records and shall serve as secretary of the merit system council. If the personnel officer is to be drawn from among the employees of the sheriff, the designation of the personnel officer shall be made by the sheriff.

(g) **Powers.** The merit system council as a body shall have the power to:

1. Adopt and amend rules and regulations for the administration of this division.
2. Make investigations concerning the enforcement and effect of this division and to require observance of the rules and regulations made thereunder.
3. Hear and determine appeals and complaints respecting the administration of this division.
4. Establish and maintain a roster of all employees of the classified service and the office of the sheriff showing their positions, rank, compensation and places of residence.
5. Ascertained and record the duties and responsibilities pertaining to all positions in the classified service, and to classify such positions in the manner provided in this division.
6. Except as otherwise provided in this division, formulate and hold competitive tests to determine the qualifications of persons who seek employment in any position, and as a result of such tests, establish employment lists of eligible persons for the various positions.
7. Tests required by this section may include examination for psychological fitness, physical fitness, and manual skill performed either within the initial 30-day period or within 30 days after the Sheriff notifies the council of the filling of a vacancy from the eligibility list and prior to commencement of the employment duties.
8. Establish records of the performance and a system of service ratings to be used to determine promotions, the order of layoffs and reduction of force; to determine the order of reemployment; to assist in the determination of dismissal for cause, and for other purposes.
9. Keep any other such records as may be necessary for the proper administration of this division.
10. Provide for part-time and temporary positions and appointments and establish rules defining and governing use of these positions, except that no temporary appointment shall be for more than three months except by special action of the council.
11. Establish rules and regulations governing mandatory retirement from the classified service and providing for further provisional employment of such persons at the
discretion of the sheriff on a year-to-year basis where such employees prove to be physically and otherwise able to perform required services and where a need for such services is demonstrated.

(12) Set the date when the portions of this division pertaining to the establishment of the classified service shall take effect, except that the date set shall not be prior to January 5, 1971.

(13) Adopt and amend rules and regulations providing for a means of hearing grievances by members of the classified service.


Sec. 42-54. Conduct of merit system council meetings.

(a) All meetings shall comply with the public notice requirements of the Tennessee Open Meetings Law. All members are required to comply with the strictures of the Tennessee Open Meetings Law.

(b) All meetings shall be publicly held in the Main Assembly Room or Small Assembly Room of the City-County Building or such other public facility as may be set out in the public notice of the meeting.

(c) Minutes of all meetings shall be taken and maintained as a public record.

(d) All meetings shall be audibly and visually recorded and maintained as a public record.

(e) The Merit System Council shall meet at least once every quarter at a time determined by the Chairperson.

(f) At the first quarterly meeting of each calendar year, the Merit System Council shall elect a Chairperson and a Vice Chairperson whose parliamentary duties shall be the same as those as defined for the officers of the County Commission.

(Ord. No. O-90-9-111, § 9, 9-10-90; O-21-7-105; § 1, 8/23/21)

Sec. 42-55. Classification plan.

(a) The merit system council shall, as soon as practical, adopt a classification plan and make rules for its administration. The position classification plan may, if desired, create different classes of positions within each position, whether or not such positions are covered under the merit system.

(b) The position classification plan shall show the duties, authorities, responsibilities and character of work required for each position and each class thereof. In consultation with the sheriff, the merit system council shall determine the requirements of each position and class thereof as to education, experience, and capabilities, knowledge, and skill. The council shall provide, as far as practical, for the maximum flexibility in lateral transfer of personnel, particularly in those positions and classes of positions relating to law enforcement.

(Ord. No. O-90-9-111, § 10, 9-10-90)
Sec. 42-56. Council to create, combine, alter or abolish positions as necessary; exception.

The merit system council shall create new positions, or combine, alter, or abolish existing positions as the sheriff deems necessary for the effective operation of the sheriff's office, provided, however, that no position in the classified service be abolished where there is evidence to show that the abolishing of the office is designed to subvert the merit principle contained in this division that an individual covered by the merit system may not be dismissed from service except for demonstrated cause.

(Ord. No. O-90-9-111, § 11, 9-10-90)

Sec. 42-57. Leaves of absence rules.

(a) The merit system council shall formulate reasonable rules governing the granting of leaves of absence to members of the classified service in good standing. Leaves of absence shall be granted only where the sheriff has recommended the leave of absence and where it is demonstrated that the requirements of adequate law enforcement and operational efficiency of the office of the sheriff shall not be impaired by the granting of such a leave.

(b) All persons coming under the classified service who shall, after September 10, 1990, be inducted in the Armed Forces of the United States of America, or who shall, after September 10, 1990, enter such service voluntarily, by enlistment or otherwise, in a time of war or other national emergency shall, upon application of the sheriff, receive a military leave of absence for the duration of the period of service required. Such employee shall retain all rights for seniority and shall be entitled to reemployment in the same capacity and position held at the time of entering such military service; provided, however, that application for reinstatement in such position be made by or on behalf of such employee within three months after termination of active service in the Armed Forces.

(Ord. No. O-90-9-111, § 12, 9-10-90)

Sec. 42-58. Competitive tests; lists of eligibles.

(a) The sheriff shall keep the merit system council informed by periodic reports of the employment needs of the office and the council shall, as often as required by the necessity of the office of the sheriff, hold tests for the purpose of establishing lists of eligibles for the various positions in the classified service. Such tests shall be made public, competitive, and open to all persons who may be lawfully appointed under the rules promulgated by the merit system council and existing prior to the announcement of the examination. Such rules may set limitations as to residence, age, health, habits, moral character, and other necessary prerequisites for the performance of the duties of the position for which the examination is designated. Prior to placement on the list of eligibles, background investigations must be completed and the candidate found in conformity with standards.

(b) Promotion tests shall be public, competitive and free to all persons examined and appointed under the provisions of this division and who shall have served as an employee of the sheriff's office for a minimum of one year and successfully completed a probationary
period. Promotional examinations shall include an oral examination by an examining board, which shall include the sheriff or his designated representative.

(c) Tests required by this section may include examination for psychological fitness, physical fitness, and manual skill performed either within the initial 30-day period or within 30 days after the Sheriff notifies the council of the filling of a vacancy from the eligibility list and prior to commencement of the employment duties. No questions in any test shall relate to religious or political opinions or affiliations. The eligible persons shall take rank upon a list which shall be compiled for each position in the order of their relative excellence as determined by the tests without reference to the priority of the time the tests are given. No lists of eligible persons shall be valid after one year, except, however, that the merit system council may extend an eligible period for not more than one year. Notice of the time, place and general scope of each test and the duties, pay and experience required for all positions for which the test is to be held shall be given by the council to each applicant at least one week preceding the test.

(d) The notice required by subsection (c) of this section must be in writing and addressed to the last known address supplied by the applicant.

(Ord. No. O-90-9-111, § 13, 9-10-90; Ord. No. O-20-7-102 , § 1, 9-28-20)

Sec. 42-59. Filling of vacancies.

(a) It is the responsibility of the county sheriff to conduct all aspects of recruitment, advertising, marketing and promotional efforts, including job fairs, promotional materials and such other recruiting tools as the sheriff's office deems necessary in order to attract qualified employee applicants and candidates. Interested candidates will then be referred to the merit system council for the application process.

(b) Whenever a vacancy occurs in any position in the classified section of the office of sheriff, the sheriff shall make requisitions to the merit system council for names and addresses of all persons eligible for appointment thereto. The council shall immediately certify the names of all persons on the eligible list for the position wherein the vacancy exists. The sheriff thereupon shall investigate each of the five highest persons on the list of eligibles and provide a conditional offer of employment from that list. If the sheriff finds the list of such five persons unacceptable, he may state his findings in writing to the council which at its discretion may authorize selection from the second five eligible persons on the list. The sheriff thereupon shall provide a conditional offer of employment to the person selected to the position where the vacancy exists and shall notify the council of his action. The merit system council will within 30 days thereafter and prior to commencement of the employment duties have performed psychological fitness, physical fitness, and manual skill examinations on those persons selected by the sheriff. If the merit system council shall fail to provide a list of eligibles, then the sheriff may make appointments to vacancies after having notified the council of his action or his intention to do so.

(c) No appointment for any position in the classified service shall be deemed complete until after the expiration of 12 months probation of service, during which time the sheriff may determine the effectiveness of the employee, and if in his judgment the employee does not
meet the standards, he may terminate the employment of any person certified and appointed if he deems it in the best interest of the service.

(d) No promotion to a supervisory position shall be deemed complete for a period of six months after appointment, during which time the employee may be returned to his previous position without prejudice.


Sec. 42-60. Transfers.

All employees in the classified service may be transferred from one position to another within and between classes of positions only under rules established by the merit system council. These rules may designate those classes of positions between which transfer may take place. Nothing in this section shall prohibit any employee holding a position in one class from proving qualifications for holding a position and being eligible for appointment in another class through regular competitive examination. Transfers may be instituted only by the sheriff and shall be permitted only with the consent of the sheriff.


Sec. 42-61. Investigations.

(a) The procedure of the merit system council with respect to any investigation by the council as authorized by this division shall be in accordance with the rules and regulations established by the council and shall provide for reasonable notice to all persons affected by the authorization of any order the merit system council may issue after such investigation is complete. Such affected person shall have the opportunity to be heard either in person and/or by counsel, and to introduce testimony in his behalf in a public hearing to be held for that purpose.

(b) The merit system council, when conducting any investigations or hearings authorized by this division, shall have the power to administer oaths, take depositions, issue subpoenas, compel the attendance of witnesses and the production of books, accounts, papers, records, documents and testimony. In case of the disobedience of any person to comply with the order of the council or of a subpoena issued by the council or any of its members, or upon the refusal of a witness to testify on any matter in which he might be lawfully interrogated, the judge in any court of record within the county on application of the council shall compel obedience by proceedings as for contempt. The sheriff or his legal deputy shall serve such subpoenas as issued by the council.

(Ord. No. O-90-9-111, § 16, 9-10-90)
Sec. 42-62. Sheriff to make immediate report of matters affecting status of member of service; suspensions.

(a) The sheriff shall give an immediate report in writing to the merit system council on all appointments, reinstatements, vacancies, absences or other matters affecting the status of any member of the classified service or the performance of the duties of members of the classified service. The report shall be in the manner and form prescribed by the merit system council.

(b) The sheriff may suspend any employee for not more than three days for cause, and there shall be no right of appeal for any suspension thereof. A member of the classified service shall be authorized to file a grievance under the procedures established by the merit system council pertaining to suspension of more than three days. The sheriff shall not have the authority to suspend any employee for more than one suspension of ten days within any given six-month period of time without the right of appeal. If the sheriff shall suspend any employee for a period longer than ten days, the individual suspended shall be notified in writing of the charges placed against him for his suspension. He shall thereafter have ten days to request a hearing before the merit system council, and upon his request the council shall set a hearing not more than 30 days from the receipt of such request for a hearing. It is the intent of this division that the sheriff shall have maximum flexibility in the disciplining of employees for ordinary cause. However, if the council finds that the sheriff has not complied with procedures established by the council, that suspension has occurred for political reasons, or that the sheriff has been arbitrary in the interpretation of cause, the council may order reinstatement and back pay of the employee.

(Ord. No. O-90-9-111, § 17, 9-10-90)

Sec. 42-63. Dismissals.

The sheriff shall have the authority to dismiss any employee for ordinary cause with a right of appeal assured the employee. The dismissed employee shall be notified in writing of the causes for dismissal. The employee shall thereafter have ten days to request a hearing before the merit system council, and upon his request the council shall set a hearing not more than 30 days from the date of the receipt of such request. The rules of procedure for the conduct of any investigation by the merit system council shall apply to such hearing. If the council finds that the sheriff has not complied with the procedures established by the council, that dismissal has occurred for political reasons or that the sheriff has been arbitrary in the interpretation of cause, the council may order the employee to be reinstated with back pay. If dismissal results from a reduction in force, such reduction shall be made on the basis of seniority and merit, as provided for in the rules established by the council.

(Ord. No. O-90-9-111, § 18, 9-10-90)

Sec. 42-64. Political activities restricted.

No person holding a position in the classified service shall take an active part in any political campaign while on duty, nor shall any employee of the sheriff's department, under any
circumstance, solicit money for political campaigns or in any way use his position as a deputy
sheriff to reflect his personal political feelings as those of the sheriff's department or to use his
position as deputy sheriff to exert any pressure on anyone or any group of people to sway that
person's or group of persons' political views. No employee while on duty, nor any officer while
in uniform, shall display any political advertising or paraphernalia on his person or on his
automobile. No employee of the sheriff's office shall make any public endorsement of any
candidate in any campaign for elected office, nor be responsible for the conduct of such
campaign. However, nothing in this division shall be construed to prohibit or prevent any such
employee from becoming or continuing to be a member of a political club or organization and
enjoying all the rights and privileges of such membership or from attending any political
meetings while not on duty or in the course of his official business, nor shall he be denied from
enjoying any freedom in the casting of his vote. Any person violating the provisions of this
section shall be dismissed from the service of the office of the sheriff.

(Ord. No. O-90-9-111, § 19, 9-10-90)

Sec. 42-65. Sheriff not to require political activity as condition of employment or
promotion.

The sheriff may not require any employee in the classified service to participate in any
political activity as a condition of employment, continuation of employment, or promotion. If,
upon proper investigation, notice and hearing of the merit system council, it is determined that
such actions have taken place, where applicable, the employee shall be reinstated with back pay,
and the council shall issue an order to the sheriff to cease and desist from such activity. If the
sheriff fails to comply or persists in the activity, the council may apply to the nearest court of
record having jurisdiction for a citation of contempt.

(Ord. No. O-90-9-111, § 20, 9-10-90)

Sec. 42-66. Division not to create property rights or vested interests.

This division shall not be held nor construed to create any property rights or any vested
interests in any position in the classified service, and the right is hereby reserved to repeal, alter,
or amend this division, or any provisions thereof, at any time.

(Ord. No. O-90-9-111, § 21, 9-10-90)

Attachment 2

ACKNOWLEDGEMENTS

Since the inception of the Knox County Merit System Council in 1970, the following persons have served on the Council contributing to its success.

<table>
<thead>
<tr>
<th>Name</th>
<th>Years</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lewis J. Van Mol</td>
<td>1971-1977</td>
</tr>
<tr>
<td>John E. Sergeant</td>
<td>1971-1974</td>
</tr>
<tr>
<td>Robert W. Ritchie</td>
<td>1977-1983</td>
</tr>
<tr>
<td>Peggy R. Szabo</td>
<td>1974-1977</td>
</tr>
<tr>
<td>Howard A. Mullins</td>
<td>1982-1987</td>
</tr>
<tr>
<td>Herbert S. Moncier</td>
<td>1983-1989</td>
</tr>
<tr>
<td>William T. Hendon</td>
<td>1990-1995</td>
</tr>
<tr>
<td>Eddie Daniel</td>
<td>1992-1993 (Alternate Council Member)</td>
</tr>
<tr>
<td>Gary W. Parker</td>
<td>1992-December 31, 1992 (Alternate Council Member)</td>
</tr>
<tr>
<td></td>
<td>January 1993-July 1995 (Council Member)</td>
</tr>
<tr>
<td></td>
<td>1994-1994 (Alternate Council Member)</td>
</tr>
<tr>
<td>Harold Pinkley</td>
<td>1994-1994 (Alternate Council Member)</td>
</tr>
<tr>
<td>Charles E. Patty</td>
<td>October 1994-December 31, 1994 (Alternate Council Member)</td>
</tr>
<tr>
<td></td>
<td>January 1995-March 1997 (Chairperson)</td>
</tr>
<tr>
<td>William A. (Mike) Arms</td>
<td>July 1995 (Council Member) to fulfill the term of Gary Parker which expires December 31, 1996</td>
</tr>
<tr>
<td></td>
<td>January 1997-August 1998 (Chairperson)</td>
</tr>
<tr>
<td>John F. Ray</td>
<td>July 1995-December 31, 1995 (Alternate Council Member)</td>
</tr>
<tr>
<td></td>
<td>January 1996-August 1998 (Council Member)</td>
</tr>
<tr>
<td></td>
<td>1998-January 2002 (Chairperson)</td>
</tr>
<tr>
<td>Michael B. Beatty</td>
<td>January 1996-March 1997 (Alternate Council Member)</td>
</tr>
<tr>
<td></td>
<td>March 1997 to December 1997 (Council Member) to fulfill term of Charles Patty</td>
</tr>
<tr>
<td></td>
<td>1998-2000 (Council Member)</td>
</tr>
<tr>
<td>Kevin Desmond</td>
<td>1997-1998 (Alternate Council Member)</td>
</tr>
<tr>
<td>Brian Hornback</td>
<td>September 1998-May 2000 (Alternate Council Member)</td>
</tr>
<tr>
<td></td>
<td>May 2000-September 2000 (Council Member)</td>
</tr>
<tr>
<td>Sue Methvin</td>
<td>May 1998-September 1998 (Alternate Council Member)</td>
</tr>
<tr>
<td></td>
<td>September 1998- January 2002 (Council Member)</td>
</tr>
<tr>
<td></td>
<td>January 2002-September 2004 (Chairperson)</td>
</tr>
<tr>
<td>Name</td>
<td>Term Information</td>
</tr>
<tr>
<td>-----------------</td>
<td>-----------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Ronald Wade</td>
<td>June 2000-August 2004 (Council Member) September 2004 –August 2006 (Council Chair)</td>
</tr>
<tr>
<td>Terry Lewis</td>
<td>September 2004-April 2005(Alternate Council Member) April 2005-July 2010 (Council Member) July 2010- April 2011 (Council Chair)</td>
</tr>
<tr>
<td>Tom Pierce</td>
<td>April 2005-August 2010 (Alternate Council Member) August 2010-April 2011 (Council Member) April 2011-August 2016 (Council Chair)</td>
</tr>
<tr>
<td>Doug Minter</td>
<td>July 2006-July 2012 (Council Member)</td>
</tr>
<tr>
<td>Bill Lindsey</td>
<td>November 2010-April 2011 (Alternate Council Member) April 2011-August 2016 (Council Member) August 2016-April 2017 (Council Chair)</td>
</tr>
<tr>
<td>Tommy Daugherty</td>
<td>October 2011-July 2012 (Alternate Council Member) July 2012-June 2015 (Council Member)</td>
</tr>
<tr>
<td>Roy Kruse</td>
<td>July 2012-June 2015 (Alternate Council Member) June 2015-April 2018 (Council Member)</td>
</tr>
<tr>
<td>Jim Jennings</td>
<td>June 2015-August 2016 (Alternate Council Member) August 2016-April 2017 (Council Member) April 2017-February 2021 (Council Chair) February 2021-Present (Council Member)</td>
</tr>
<tr>
<td>Jim Wright Jr.</td>
<td>August 2016-April 2017 (Alternate Council Member) April 2017-Present (Council Member)</td>
</tr>
<tr>
<td>Gina Oster</td>
<td>April 2017-April 2018 (Alternate Council Member) April 2018-February 2021 (Council Member) February 2021-Present (Council Chair)</td>
</tr>
<tr>
<td>William Schaad</td>
<td>April 2018-July 2019 (Alternate Council Member)</td>
</tr>
<tr>
<td>Laschinski Emerson</td>
<td>September 2019-April 2021 (Alternate Council Member)</td>
</tr>
<tr>
<td>John Marshall</td>
<td>April 2021 (Alternate Council Member)</td>
</tr>
<tr>
<td><strong>The Following</strong></td>
<td><strong>individuals have served the Council in the positions listed</strong></td>
</tr>
<tr>
<td>Peggy Szabo</td>
<td>Executive Secretary</td>
</tr>
<tr>
<td>Sylvia B. Clark</td>
<td>Executive Secretary</td>
</tr>
<tr>
<td>Marilyn “Corky” Truan</td>
<td>Executive Secretary</td>
</tr>
<tr>
<td>Diana D. Caldwell</td>
<td>Executive Secretary/Director</td>
</tr>
<tr>
<td>Deanna G. Williams</td>
<td>Executive Director</td>
</tr>
<tr>
<td>W. Gary Hall</td>
<td>Executive Director October 1997-February 2013</td>
</tr>
<tr>
<td>Paula M. Taylor</td>
<td>Executive Director February 2013-Present</td>
</tr>
</tbody>
</table>